

# PRINTER RUSH

(PTO ASSISTANCE)

4th Request

Application : 09/945512 Examiner : Booth GAU : 2812  
From : T. McGill Location : (IDC) FMF FDC Date : 11/3/04  
Tracking # : 5974753 Week Date : 7-5-04

DOC CODE	DOC DATE	MISCELLANEOUS
<input checked="" type="checkbox"/> 1449		<input type="checkbox"/> Continuing Data
<input checked="" type="checkbox"/> IDS	<u>9-22-03</u>	<input type="checkbox"/> Foreign Priority
<input type="checkbox"/> CLM		<input type="checkbox"/> Document Legibility
<input type="checkbox"/> IIFW		<input type="checkbox"/> Fees
<input type="checkbox"/> SRFW		<input type="checkbox"/> Other
<input type="checkbox"/> DRW		
<input type="checkbox"/> OATH		
<input type="checkbox"/> 312		
<input type="checkbox"/> SPEC		

[RUSH] MESSAGE: ATTN: Rori Barch, Supervisor Query Branch  
PTO-1449: Please either initial or line  
through citation. Copy provided for  
reference.  
Thank you

[XRUSH] RESPONSE: \_\_\_\_\_  
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	09/945512
Filing Date	August 30, 2001
First Named Inventor	Forbes, Leonard
Group Art Unit	2812
Examiner Name	Booth, Richard
Attorney Docket No:	1303.027US1



### US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-5,952,692	09/14/1999	Nakazato, K. , et al.	257	321	10/28/1997
	US-6,210,999	04/03/2001	Gardner, , et al.	438	183	12/04/1998
	US-6,475,857	11/05/2002	Kim, W. , et al.	438	240	06/21/2001
	US-6,541,280	04/01/2003	Kaushik, , et al.			03/20/2001

### FOREIGN PATENT DOCUMENTS

Examiner Initials *	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
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### OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		SHI, Y. , "Tunneling Leakage Current in Ultrathin (<4 nm) Nitride/Oxide Stack Dielectrics", IEEE Electron Device Letters, 19(10), (1998), pp. 388-390	
		ZHANG, "Atomic Layer Deposition of High Dielectric Constant Nanolaminates", Journal of The Electrochemical Society, 148(4), (2001), F63-F66	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 808. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached